



Beyond New Horizons, LLC  
Arnold Engineering Development Complex Test Operations & Sustainment

## APPENDIX SFA-1 GOVERNMENT CLAUSES INCORPORATED BY REFERENCE

- A. The Federal Acquisition Regulation (FAR) and the U. S. Department of the Air Force, Headquarters Defense Federal Acquisition Regulation (DFAR), and the Air Force Federal Acquisition Regulation (AFFAR) clauses, which are incorporated by reference herein shall have the same force and effect as if printed in full text.
- B. Full text of the reference clauses may be accessed electronically at website address:  
<http://www.acquisition.gov/dfars>
- C. Wherever necessary to make the context of the unmodified FAR, DFAR and AFFAR clauses applicable to this subcontract:
- (1) The term "Contractor" shall mean "SUBCONTRACTOR;"
  - (2) The term "Contract" shall mean this subcontract; and
  - (3) The term "Government," "Contracting Officer" and equivalent phrases shall mean CONTRACTOR and/or CONTRACTOR'S representative, except the terms "Government" and "Contracting Officer" do not change:
    - (i) In the phrases "Government Property," "Government-Furnished Property," and "Government-Owned Property;"
    - (ii) In any patent, data rights or other intellectual property clauses incorporated herein, except that the term "Government" shall mean both "Government" and "CONTRACTOR" with respect to any Patent Indemnity clause;
    - (iii) When a right, act, authorization or obligation can be granted or performed only by the Government or the prime contract Contracting Officer or his duly authorized representative;
    - (iv) When title to property is to be transferred directly to the Government;
    - (v) When access to proprietary financial information or other proprietary data is required except for authorized audit rights; and
    - (vi) Where specifically modified herein.
  - (4) For authorized audit rights, the term "Contracting Officer, or an authorized representative of the Contracting Officer" shall also include "CONTRACTOR, or an authorized representative of CONTRACTOR."
- D. The following clauses are incorporated by reference into this subcontract: The CONTRACTOR is responsible for flowing down the requirements of all applicable FAR and DFAR clauses to its sub tier suppliers at any tier to the extent required.

## SFA-1, Government Clauses Incorporated by Reference

<b>DFAR Code</b>	<b>Title</b>	<b>Effective Date</b>	<b>Alternate/ Deviation</b>	<b>Variation Effective Date</b>
252.201-7000	Contracting Officer's Representative	Dec 1991		
252.203-7000	Requirements Relating to Compensation of Former DoD Officials	Sep 2011		
252.203-7001	Prohibition on Persons Convicted of Fraud or Other Defense-Contract-Related Felonies	Jan 2023		
252.203-7003	Agency Office of the Inspector General	Aug 2019		
252.203-7004	Display of Hotline Posters	Jan 2023		
252.204-7000	Disclosure of Information	Oct 2016		
252.204-7002	Payment for Contract Line or Subline Items not Separately Priced	Apr 2020		
252.204-7002	Expediting Contract Closeout	May 2021		
252.204-7003	Control of Government Personnel Work Product	Apr 1992		
252.204-7004	Antiterrorism Awareness Training for Contractors	Jan 2023		
252.204-7006	Billing Instructions	Oct 2005		
252.204-7009	Limitations on the Use of Disclosure of Third-Party Contractor Reported Cyber Incident Information	Jan 2023		
252.204-7010	Requirement for Contractor to Notify DoD if the Contractor's Activities are Subject to Reporting Under the U.S.-International Atomic Energy Agency Additional Protocol	Jan 2009		
252.204-7012	Safeguarding Covered Defense Information and Cyber Incident Reporting	Jan 2023		
252.204-7015	Notice of Authorized Disclosure of Information for Litigation Support	Jan 2023		
252.204-7018	Prohibition on the Acquisition of Covered Defense Telecommunications Equipment or Services	Jan 2023		
252.204-7020	NIST SP 800-171 DoD Assessment Requirements	Jan 2023		

## SFA-1, Government Clauses Incorporated by Reference

252.204-7021	Contractor Compliance with the Cybersecurity Maturity Model Certification Level Requirement	Jan 2023		
252.205-7000	Provision of Information to Cooperative Agreement Holders	Dec 1991		
252.209-7004	Subcontracting with Firms that are Owned or Controlled by the Government of a Country that is a State Sponsor of Terrorism	May 2019		
252.211-7003	Item Unique Identification and Valuation	Jan 2023		
252.211-7007	Reporting of Government Furnished Property	Mar 2022		
252.211-7008	Use of Government-Assigned Serial Numbers	Sep 2010		
252.216-7004	Award Fee Reduction or Denial for Jeopardizing the Health or Safety of Government Personnel	Sep 2011		
252.219-7003	Small Business Subcontracting Plan (DoD Contracts)	Dec 2019		
252.222-7006	Restrictions on the Use of Mandatory Arbitration Agreements	Jan 2023		
252.223-7001	Hazard Warning Labels	Dec 1991		
252.223-7002	Safety Precautions for Ammunition and Explosives	May 1994		
252.223-7003	Change in Place of Performance- Ammunition and Explosives	Dec 1991		
252.223-7004	Drug-Free Work Force	Sep 1988		
252.223-7006	Prohibition on Storage, Treatment, and Disposal of Toxic or Hazardous Materials	Sep 2014		
252.223-7007	Safeguarding Sensitive Conventional Arms, Ammunition, and Explosives	Sep 1999		
252.223-7008	Prohibition of Hexavalent Chromium	Jan 2023		
252.225-7001	Buy American and Balance of Payments Program	Jan 2023		
252.225-7002	Qualifying Country Sources as Subcontracts	Mar 2022		
252.225-7004	Report of Intended Performance Outside the United States and Canada - Submission after Award	Oct 2020		
252.225-7007	Prohibition on Acquisition of Certain Items from Communist Chinese Military Companies	Dec 2018		
252.225-7008	Restriction on Acquisition of Specialty Metals	Mar 2013		

## SFA-1, Government Clauses Incorporated by Reference

252.225-7009	Restriction on Acquisition of Certain Articles Containing Specialty Metals	Jan 2023		
252.225-7012	Preference for Certain Domestic Commodities	Apr 2022		
252.225-7013	Duty-Free Entry	Dec 2022		
252.225-7015	Restriction on Acquisition of Hand Measuring Tools	Jun 2025		
252.225-7016	Restriction on Acquisition of Ball and Roller Bearings	Jan 2023		
252.225-7025	Restriction on Acquisition of Forgings	Dec 2009		
252.225-7043	Antiterrorism/Force Protection for Defense Contractors Outside the United States	Jun 2015		
252.225-7047	Exports by Approved Community Members in Performance of the Contract	Jun 2013		
252.225-7048	Export Controlled Items	Jun 2013		
252.225-7052	Restriction on the Acquisition of Certain Magnets, Tantalum, and Tungsten	Jan 2023		
252.225-7056	Prohibition Regarding Business Operations with the Maduro Regime	Jan 2023		
252.225-7058	Postaward Disclosure of Employment of Individuals Who Work in the People's Republic of China	Jan 2023		
252.225-7060	Prohibition on Certain Procurements from the Xinjiang Uyghur Autonomous Region	Jan 2023		
252.225-7968	Restriction on Acquisition of Personal Protective Equipment and Certain Other Items from Non-Allied Foreign Nation (DEVIATION 2022-O0008)	Mar 2022	Deviation 2022-O0008	Mar 2022
252.225-7972	Prohibition on the Procurement of Foreign-Made Unmanned Aircraft Systems (DEVIATION 2020-O0015)	May 2020	Deviation 2020-O0015	May 2020
252.226-7001	Utilization of Indian Organizations, Indian-Owned Economic Enterprises, and Native Hawaiian Small Business Concerns	Jan 2023		
252.227-7013	Rights in Technical Data - Other Than Commercial Products and Commercial Services	Jan 2025		

SFA-1, Government Clauses Incorporated by Reference

252.227-7014	Rights in Other Than Commercial Computer Software and Other Than Commercial Computer Software Documentation	Jan 2025		
252.227-7016	Rights in Bid or Proposal Information	Jan 2023		
252.227-7017	Identification and Assertion of Use, Release, or Disclosure Restrictions	Jan 2025		
252.227-7019	Validation of Asserted Restrictions-Computer Software	Jan 2023		
252.227-7020	Rights in Special Works	Jun 1995		
252.227-7025	Limitations on the Use or Disclosure of Government-Furnished Information Marked With Restrictive Legends	Jan 2023		
252.227-7026	Deferred Delivery of Technical Data or Computer Software	Apr 1988		
252.227-7027	Deferred Ordering of Technical Data or Computer Software	Apr 1988		
252.227-7030	Technical Data-Withholding of Payment	Mar 2000		
252.227-7033	Rights in Shop Drawings	Apr 1966		
252.227-7037	Validation of Restrictive Markings on Technical Data	Jan 2023		
252.227-7038	Patent Rights-Ownership by the Contractor (Large Business)	Jun 2012		
252.227-7039	Patents-Reporting of Subject Inventions	Apr 1990		
252.231-7000	Supplemental Cost Principles	Dec 1991		
252.232-7003	Electronic Submission of Payment Requests and Receiving Reports	Dec 2018		
252.232-7006	Wide Area WorkFlow Payment Instructions	Jan 2023		
252.232-7010	Levies on Contract Payments	Dec 2006		
252.234-7002	Earned Value Management System	May 2011	Deviation	Apr 2023
252.235-7010	Acknowledgment of Support and Disclaimer	May 1995		
252.235-7011	Final Scientific or Technical Report	Dec 2019		
252.237-7010	Prohibition on Interrogation of Detainees by Contractor Personnel	Jan 2023		
252.239-7000	Protection Against Compromising Emanations	Oct 2019		
252.239-7001	Information Assurance Contractor Training and Certification	Jan 2008		
252.239-7010	Cloud Computing Services	Jan 2023		
252.239-7018	Supply Chain Risk	Dec 2022		

SFA-1, Government Clauses Incorporated by Reference

252.242-7004	Material Management and Accounting System	May 2011		
252.242-7005	Contractor Business System	Jan 2025		
252.242-7006	Accounting System Administration	Feb 2012		
252.243-7001	Pricing of Contract Modifications	Dec 1991		
252.243-7002	Requests for Equitable Adjustment	Dec 2022		
252.244-7000	Subcontracts for Commercial Products or Commercial Services	Jan 2023		
252.244-7001	Contractor Purchasing System Administration	May 2014		
252.245-7001	Tagging, Labeling, and Marking of Government-Furnished Property	Apr 2012		
252.245-7002	Reporting Loss of Government Property	Jan 2021		
252.245-7003	Contractor Property Management System Administration	Apr 2012		
252.245-7004	Reporting, Reutilization, and Disposal (Deviation 2022-00006)	Nov 2021	Deviation 2022-00006	Nov 2021
252.246-7003	Notification of Potential Safety Issues	Jan 2023		
252.246-7007	Contractor Counterfeit Electronic Part Detection and Avoidance System	Jan 2023		
252.246-7008	Sources of Electronic Parts	Jan 2023		
252.247-7023	Transportation of Supplies by Sea	Jan 2023		
252.251-7000	Ordering From Government Supply Sources	Aug 2012		
252.251-7001	Use of Interagency Fleet Management System (IFMS) Vehicles and Related Services	Dec 1991		
252.255-7021	Trade Agreements	Jan 2023		
525.203-7002	Requirements to Inform Employees of Whistleblower Rights	Dec 2022		

**FEDERAL ACQUISITION REGULATION PRIME CONTRACT CLAUSES IN FULL TEXT**

**52.211-15 DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS (APR 2008)**

This is a rated order certified for national defense, emergency preparedness, and energy program use, and the Contractor shall follow all the requirements of the Defense Priorities and Allocations System regulation (15 CFR 700). This Subcontract is rated DO-C9.

**52.222-42 STATEMENT OF EQUIVALENT RATES FOR FEDERAL HIRES (MAY 2014)**

In compliance with the Service Contract Labor Standards statute and the regulations of the Secretary of Labor (29 CFR Part 4), this clause identifies the classes of service employees expected to be employed under the contract and states, the wages and fringe benefits payable to each if they were employed by the contracting agency subject to the provisions of 5 U.S.C. 5341 or 5332.

THIS STATEMENT IS FOR INFORMATION ONLY: IT IS NOT A WAGE DETERMINATION

Employee Class Monetary Wage - Fringe Benefits - This is not a wage determination. See Exhibit B - Special Conditions, Service Contract Labor Standards Determinations for classes of employees and approximate wage rates.

**52.222-55 - Minimum Wages for Contractor Workers Under Executive Order 14026 (Jan 2022)**

(a) Definitions. As used in this clause—

*United States* means the 50 states, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, Johnston Island, Wake Island, and the outer Continental Shelf as defined in the Outer Continental Shelf Lands Act (43 U.S.C. 1331, *et seq.*).

*Worker* –

(1)

(i) Means any person engaged in performing work on, or in connection with, a contract covered by Executive Order 14026, and—

(A) Whose wages under such contract are governed by the Fair Labor Standards Act (29 [U.S.C. chapter 8](#)), the Service Contract Labor Standards statute (41 [U.S.C. chapter 67](#)), or the Wage Rate Requirements (Construction) statute (40 [U.S.C. chapter 31](#), subchapter IV);

(B) Other than individuals employed in a bona fide executive, administrative, or professional capacity, as those terms are defined in 29 CFR part 541; and

(C) Regardless of the contractual relationship alleged to exist between the individual and the employer.

(ii) Includes workers performing on, or in connection with, the contract whose wages are calculated pursuant to special certificates issued under [29 U.S.C. 214\(c\)](#).

(iii) Also includes any person working on, or in connection with, the contract and individually registered in a bona fide apprenticeship or training program registered with the Department of Labor's Employment and Training Administration, Office of Apprenticeship, or with a State Apprenticeship Agency recognized by the Office of Apprenticeship.

(2)

(i) A worker performs *on* a contract if the worker directly performs the specific services called for by the contract; and

(ii) A worker performs *in connection* with a contract if the worker's work activities are necessary to the performance of a contract but are not the specific services called for by the contract.

(b) Executive Order Minimum wage rate.

(1) The Contractor shall pay to workers, while performing in the United States, and performing on, or in connection with, this contract, a minimum hourly wage rate of \$15.00 per hour beginning January 30, 2022.

(2) The Contractor shall adjust the minimum wage paid, if necessary, beginning January 1, 2023, and annually thereafter, to meet the applicable annual E.O. minimum wage. The Administrator of the Department of Labor's Wage and Hour Division (the Administrator) will publish annual determinations in the Federal Register no later than 90 days before the effective date of the new E.O. minimum wage rate. The Administrator will also publish the applicable E.O. minimum wage on <https://www.sam.gov> (or any successor website), and a general notice on all wage determinations issued under the Service Contract Labor Standards statute or the Wage Rate Requirements (Construction) statute, that will provide information on the E.O. minimum wage and how to obtain annual updates. The applicable published E.O. minimum wage is incorporated by reference into this contract.

(3)

(i) The Contractor may request a price adjustment only after the effective date of the new annual E.O. minimum wage determination. Prices will be adjusted only for increased labor costs (including subcontractor labor costs) as a result of an increase in the annual E.O. minimum wage, and for associated labor costs (including those for subcontractors). Associated labor costs shall include increases or decreases that result from changes in social security and unemployment taxes and workers' compensation insurance but will not otherwise include any amount for general and administrative costs, overhead, or profit.

(ii) Subcontractors may be entitled to adjustments due to the new minimum wage, pursuant to paragraph (b)(2). Contractors shall consider any subcontractor requests for such price adjustment.

(iii) The Contracting Officer will not adjust the contract price under this clause for any costs other than those identified in paragraph (b)(3)(i) of this clause and will not provide duplicate price adjustments with any price adjustment under clauses implementing the Service Contract Labor Standards statute or the Wage Rate Requirements (Construction) statute.

(4) The Contractor warrants that the prices in this contract do not include allowance for any contingency to cover increased costs for which adjustment is provided under this clause.

(5) A pay period under this clause may not be longer than semi-monthly but may be shorter to comply with any applicable law or other requirement under this contract establishing a shorter pay period. Workers shall be paid no later than one pay period following the end of the regular pay period in which such wages were earned or accrued.

(6) The Contractor shall pay, unconditionally to each worker, all wages due free and clear without subsequent rebate or kickback. The Contractor may make deductions that reduce a worker's wages below the E.O. minimum wage rate only if done in accordance with 29 CFR 23.230, Deductions.

(7) The Contractor shall not discharge any part of its minimum wage obligation under this clause by furnishing fringe benefits or, with respect to workers whose wages are governed by the Service Contract Labor Standards statute, the cash equivalent thereof.

(8) Nothing in this clause shall excuse the Contractor from compliance with any applicable Federal or State prevailing wage law or any applicable law or municipal ordinance or any applicable contract establishing a minimum wage higher than the E.O. 14026 minimum wage. However, wage increases under such other laws or municipal ordinances are not subject to price adjustment under this subpart.

(9) The Contractor shall pay the E.O. minimum wage rate whenever it is higher than any applicable collective bargaining agreement(s) wage rate.

(10) The Contractor shall follow the policies and procedures in 29 CFR 23.240(b) and 23.280 for treatment of workers engaged in an occupation in which they customarily and regularly receive more than \$30 a month in tips.

(c)

(1) This clause applies to workers as defined in paragraph (a). As provided in that definition—

(i) Workers are covered regardless of the contractual relationship alleged to exist between the contractor or subcontractor and the worker;

(ii) Workers with disabilities whose wages are calculated pursuant to special certificates issued under [29 U.S.C. 214\(c\)](#) are covered; and

(iii) Workers who are registered in a bona fide apprenticeship program or training program registered with the Department of Labor's Employment and Training Administration, Office of Apprenticeship, or with a State Apprenticeship Agency recognized by the Office of Apprenticeship, are covered.

(2) This clause does not apply to—

(i) Fair Labor Standards Act (FLSA)-covered individuals performing in connection with contracts covered by the E.O., *i.e.* those individuals who perform duties necessary to the performance of the contract, but who are not directly engaged in performing the specific work called for by the contract, and who spend less than 20 percent of their hours worked in a particular workweek performing in connection with such contracts;

(ii) Individuals exempted from the minimum wage requirements of the FLSA under [29 U.S.C. 213\(a\)](#) and 214(a) and (b), unless otherwise covered by the Service Contract Labor Standards statute, or the Wage Rate Requirements (Construction) statute. These individuals include but are not limited to—

(A) Learners, apprentices, or messengers whose wages are calculated pursuant to special certificates issued under [29 U.S.C. 214\(a\)](#);

(B) Students whose wages are calculated pursuant to special certificates issued under [29 U.S.C. 214\(b\)](#); and

(C) Those employed in a bona fide executive, administrative, or professional capacity ([29 U.S.C. 213\(a\)\(1\)](#) and 29 CFR part 541).

(d) Notice. The Contractor shall notify all workers performing work on, or in connection with, this contract of the applicable E.O. minimum wage rate under this clause. With respect to workers covered by the Service Contract Labor Standards statute or the Wage Rate Requirements (Construction) statute, the



Contractor may meet this requirement by posting, in a prominent and accessible place at the worksite, the applicable wage determination under those statutes. With respect to workers whose wages are governed by the FLSA, the Contractor shall post notice, utilizing the poster provided by the Administrator, which can be obtained at [www.dol.gov/agencies/whd/government-contracts](http://www.dol.gov/agencies/whd/government-contracts), in a prominent and accessible place at the worksite. Contractors that customarily post notices to workers electronically may post the notice electronically provided the electronic posting is displayed prominently on any Web site that is maintained by the contractor, whether external or internal, and customarily used for notices to workers about terms and conditions of employment.

(e) Payroll Records.

(1) The Contractor shall make and maintain records, for three years after completion of the work, containing the following information for each worker:

- (i) Name, address, and social security number;
- (ii) The worker's occupation(s) or classification(s);
- (iii) The rate or rates of wages paid;
- (iv) The number of daily and weekly hours worked by each worker;
- (v) Any deductions made; and
- (vi) Total wages paid.

(2) The Contractor shall make records pursuant to paragraph (e)(1) of this clause available for inspection and transcription by authorized representatives of the Administrator. The Contractor shall also make such records available upon request of the Contracting Officer.

(3) The Contractor shall make a copy of the contract available, as applicable, for inspection or transcription by authorized representatives of the Administrator.

(4) Failure to comply with this paragraph (e) shall be a violation of 29 CFR 23.260 and this contract. Upon direction of the Administrator or upon the Contracting Officer's own action, payment shall be withheld until such time as the noncompliance is corrected.

(5) Nothing in this clause limits or otherwise modifies the Contractor's payroll and recordkeeping obligations, if any, under the Service Contract Labor Standards statute, the Wage Rate Requirements (Construction) statute, the Fair Labor Standards Act, or any other applicable law.

(f) Access. The Contractor shall permit authorized representatives of the Administrator to conduct investigations, including interviewing workers at the worksite during normal working hours.

(g) Withholding. The Contracting Officer, upon his or her own action or upon written request of the Administrator, will withhold funds or cause funds to be withheld from the Contractor under this or any other Federal contract with the same Contractor, sufficient to pay workers the full amount of wages required by this clause.

(h) Disputes. Department of Labor has set forth in 29 CFR 23.510, Disputes concerning contractor compliance, the procedures for resolving disputes concerning a contractor's compliance with Department of Labor regulations at 29 CFR part 23. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. These disputes include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the Department of Labor, or the workers or their representatives.

(i) Antiretaliation. The Contractor shall not discharge or in any other manner discriminate against any worker because such worker has filed any complaint or instituted or caused to be instituted any proceeding under or related to compliance with the E.O. or this clause or has testified or is about to testify in any such proceeding.

(j) Subcontractor compliance. The Contractor is responsible for subcontractor compliance with the requirements of this clause and may be held liable for unpaid wages due subcontractor workers.

(k) Subcontracts. The Contractor shall include the substance of this clause, including this paragraph (k) in all subcontracts, regardless of dollar value, that are subject to the Service Contract Labor Standards statute or the Wage Rate Requirements (Construction) statute, and are to be performed in whole or in part in the United States.

(End of clause)

**252.203-7999 Prohibition on Contracting with Entities that Require Certain Internal Confidentiality Agreements. (DEVIATION 2015-O0010) (FEB 2015)**

(a) The Contractor shall not require employees or subcontractors seeking to report fraud, waste, or abuse to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting such employees or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(b) The Contractor shall notify employees that the prohibitions and restrictions of any internal confidentiality agreements covered by this clause are no longer in effect.

(c) The prohibition in paragraph (a) of this clause does not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a federal department or agency governing the nondisclosure of classified information.

(d) (1) In accordance with section 743 of Division E, Title VII, of the Consolidated and Further Continuing Resolution Appropriations Act, 2015, (Pub. L. 113-235), use of funds appropriated (or otherwise made available) under that or any other Act may be prohibited, if the Government determines that the Contractor is not in compliance with the provisions of this clause.

(2) The Government may seek any available remedies in the event the Contractor fails to perform in accordance with the terms and conditions of the contract as a result of Government action under this clause.

**252.219-7003 Small Business Subcontracting Plan (DoD Contracts).**

*Basic.* As prescribed in [219.708](#) (b)(1)(A) and (b)(1)(A)(1), use the following clause:

**SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS)—BASIC (DEC 2019)**

This clause supplements the Federal Acquisition Regulation 52.219-9, Small Business Subcontracting Plan, clause of this contract.

(a) *Definitions.* As used in this clause—

“Summary Subcontract Report (SSR) Coordinator” means the individual who is registered in the Electronic Subcontracting Reporting System (eSRS) at the Department of Defense level and is responsible for acknowledging receipt or rejecting SSRs submitted under an individual subcontracting plan in eSRS for the Department of Defense.

(b) Subcontracts awarded to qualified nonprofit agencies designated by the Committee for Purchase from People Who Are Blind or Severely Disabled (41 U.S.C. 8502-8504), may be counted toward the Contractor’s small business subcontracting goal (section 8025 of Pub. L. 108-87).

(c) A mentor firm, under the Pilot Mentor-Protege Program established under section 831 of Public Law 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded to—

(1) Protege firms which are qualified organizations employing the severely disabled; and

(2) Former protege firms that meet the criteria in section 831(g)(4) of Public Law 101-510.

(d) The master plan is approved by the Contractor’s cognizant contract administration activity for the Contractor.

(e) In those subcontracting plans which specifically identify small businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small business firms, for the small business firms specifically identified in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

(f)(1) For DoD, the Contractor shall submit reports in eSRS as follows:

(i) The Individual Subcontract Report (ISR) shall be submitted to the contracting officer at the procuring contracting office, even when contract administration has been delegated to the Defense Contract Management Agency.

(ii) Submit the consolidated SSR for an individual subcontracting plan to the "Department of Defense."

(2) For DoD, the authority to acknowledge receipt or reject reports in eSRS is as follows:

(i) The authority to acknowledge receipt or reject the ISR resides with the contracting officer who receives it, as described in paragraph (f)(1)(i) of this clause.

(ii) The authority to acknowledge receipt of or reject SSRs submitted under an individual subcontracting plan resides with the SSR Coordinator.

(g) Include the clause at Defense Federal Acquisition Regulation Supplement (DFARS) [252.219-7004](#), Small Business Subcontracting Plan (Test Program), in subcontracts with subcontractors that participate in the Test Program described in DFARS [219.702-70](#), if the subcontract is expected to exceed the applicable threshold specified in Federal Acquisition Regulation 19.702(a), and to have further subcontracting opportunities.

(End of clause)

## **ADDITIONAL FULL TEXT PRIME CONTRACT CLAUSES**

### **H115 ORGANIZATIONAL CONFLICTS OF INTEREST (APR 2023)**

(a) The purpose of this clause is to ensure that:

(1) In providing services to the Government and its customers under this contract, the Contractor's objectivity and judgment are not biased because of its present, or future, financial, contractual, organizational, or other interests;

(2) The Contractor does not obtain an unfair competitive advantage by virtue of its access to non-public Government information regarding the Government's program plans and resources;

(3) The Contractor does not obtain any unfair competitive advantage by virtue of its access to proprietary or competition sensitive information belonging to others;

(4) The Contractor ensures no bias or unfair competitive advantage exists while aggressively addressing any perception issue that may arise; and

(5) The contract complies with Section 207 of the Weapon Systems Acquisition Reform Act of 2009, Pub. L. No. 111-23 (May 22, 2009).

(b) Definitions for purposes of this clause:

(1) "Contractor" means the business entity receiving the award of this contract, as well as its parents, affiliates, divisions, subsidiaries, and successor entities. The term "affiliates" is defined in FAR 2.101 and 9.403. The term "Contractor" includes the firm, or firms, who were required to sign the contract document (see FAR 4.102). The term Contractor also includes participants in any formal contractor team arrangement as defined in FAR 9.601. Examples of formal Contractor team arrangements include, but are not limited to, partnerships, joint ventures, and alliances. Finally, the term "Contractor" includes the Contractor's subcontractors who:

(i) Operate Arnold Engineering and Development Complex (AEDC) test and evaluation facilities, or

(ii) Handle, receive, reduce, interpret, or transmit data obtained, utilized, or produced in conjunction with testing and evaluation or analysis.

~~(2) "Development" means all efforts taken toward the solution of broadly defined problems. Development may~~

encompass research, evaluating technical feasibility, proof of design and test, or engineering of programs not yet approved for acquisition or operation.

(3) "Organizational conflict of interest," or "OCI," means that a relationship or situation exists where an offeror or Contractor (including chief executives and directors, to the extent that they will or do become involved in the performance of the contract, and proposed consultants or subcontractors where they may be performing services similar to the services provided by the prime) has past, present, or currently planned interests that may directly or indirectly relate to the work to be performed under a Department of Defense (DoD) or other Federal agency contract which

(i) May diminish its capacity to give impartial, technically sound, and objective assistance and advice, or

(ii) May result in it having an unfair competitive advantage. OCI does not include the normal flow of benefits from the performance of the contract.

(4) "OCI mitigation plan" means a plan, submitted in accordance with FAR 9.505, identifying and listing all DoD contracts held by the Contractor, along with all other Federal agency contracts involving aerospace systems, major subsystems, or components thereof. The plan shall contain either an explanation for why the cited work does not create an OCI, or a formal written description of the actions the Contractor has agreed to take to mitigate any actual, potential, or perceived OCIs arising from such contracts. The plan shall also contain a discussion of any Contractor involvement, as either a prime or subcontractor, in competitions for any DoD contract and for contracts with other Federal agencies that involve aerospace systems, major subsystems or components thereof, regardless of the stage of the acquisition. The OCI mitigation plan submitted with the Contractor's proposal will be maintained throughout contract performance and shall be regularly updated by the Contractor to reflect involvement in existing, potential, and new contracts and programs to ensure all actual or perceived OCIs arising during performance of this Test Operations and Sustainment (TOS) II contract are identified and subsequently avoided, neutralized, or mitigated. The Government is responsible for approval and enforcement of the Contractor's plan. While implementation of the mitigation plan rests largely with the Contractor, the Government bears responsibility for ensuring the plan is properly implemented.

(5) "Proprietary information" means all information designated as proprietary in accordance with law and regulation and held in confidence or disclosed under restriction to prevent uncontrolled distribution and unauthorized use. Examples include, but are not limited to, marked information, information submitted in confidence to the Government, limited or restricted data, trade secrets, sensitive financial information, and computer software. Proprietary information may also appear in cost and pricing data or involve classified information. Refer to FAR 3.104-1 for the definition of contractor bid and proposal information requiring protection. Refer to FAR 3.104-4 for disclosure, protection, and marking of contractor bid or proposal information and source selection information.

(i) Proprietary information shall not include information which

(A) Was known to the Contractor prior to its receipt from a company or the Government,

(B) Was independently developed by the Contractor without access to a company's proprietary data,

(C) Is or becomes public knowledge without the fault of the Contractor,

(D) Has been lawfully obtained by the Contractor without restrictions on disclosure from a source other than a company or the Government, or

(E) Is or becomes available to a third party from a company on an unrestricted basis.

(ii) Other limitations set forth in this contract may apply to the use of information and data. The burden of proof as to the applicability of any of the exceptions described in paragraph (b)(5)(i) shall rest on the Contractor.

(6) "System" means a combination of two or more interrelated pieces of equipment (or sets) arranged in a functional package to perform an operational function or to satisfy a requirement. A system can include a grouping of hardware, software, and materials to perform a designated function with specified results. A "major subsystem"

SFA-1, Government Clauses Incorporated by Reference

means a functional grouping of components that combine to perform a key function within an element such as electrical power, attitude control, sensor imaging, and propulsion. "Component" means any sub-part of a system or major subsystem that performs a specific function but is not capable of executing the primary function of the

system or major subsystem independent of other components. Systems and major subsystems include, but are not limited to, aircraft, missiles, bombs or other weapons, air-breathing engines, liquid and solid propellant rockets, rocket motors, spacecraft, reentry vehicles, satellite mission payloads, solar arrays, and power generating systems. Components include, but are not limited to, electrical harnesses, star trackers, gyroscopes, line replaceable units, linkages, integrated circuits, and bolts.

(7) "Facilities similar to AEDC facilities" includes facilities, commercial or otherwise, that could perform the same or similar testing to that conducted in AEDC facilities. This term cannot be defined with any further specificity given the diversity of testing performed at AEDC and without an understanding of the systems or major subsystems to be tested. Facilities similar to AEDC facilities can be more specifically defined as part of any OCI mitigation plan.

(c) The Government will approve the Contractor's OCI mitigation plan once any actual, potential, or perceived OCIs are adequately mitigated as determined by the Contracting Officer.

(d) Except to the extent required in the performance of this contract according to its terms, the Contractor shall not, during the performance of the contract and for a period of three (3) years following completion of performance thereof, engage as an Original Equipment Manufacturer or as a prime contractor ( or subcontractor without an approved OCI mitigation plan) in any design, development, or production of aerospace systems or major subsystems of a type normally developed, tested, or evaluated in AEDC facilities, or facilities similar to AEDC facilities. The Contractor also shall not engage in studies or act as a consultant in those regards during the foregoing timeframe without an approved OCI mitigation plan. These restrictions do not apply to prime contracts or subcontracts for components.

(e) The Contractor may gain access to proprietary information of other companies during contract performance. When the Contractor has access to the proprietary information of other companies, the Contractor must enter into a company-to-company agreement to:

(1) Protect another company's information from unauthorized use or disclosure; and

(2) To refrain from using the information for any purpose other than that for which it was furnished. The Contractor shall furnish these agreements to the Contracting Officer for review and approval. The Contractor is not permitted to use the proprietary information obtained in performing this contract for any other purpose without the prior approval of the Contracting Officer.

(f) The Contractor shall comply with all restrictions described in FAR 9.505. The Contractor must also thoroughly inculcate in its employees, through formal training in company policies and procedures, an awareness of the philosophy of both this clause and FAR Subpart 9.5. All Contractor employees shall be educated in and formally acknowledge the absolute necessity of refraining from divulging proprietary information from other companies received in connection with work under this contract to any unauthorized person.

(g) The Contractor shall require its employees to sign written agreements prohibiting proprietary information disclosure except in accordance with a Government-approved OCI mitigation plan. This written agreement shall be between the Contractor and its employees and shall in substance provide that such employee will not, during their employment by the Contractor or thereafter, disclose to others or use for their own behalf, proprietary information from other companies received in connection with the work under this contract. The agreement shall acknowledge the employee is trained regarding handling proprietary information and discuss penalties for violations.

(h) If the Contractor discovers an actual, potential, or perceived OCI not previously considered and adequately mitigated under this clause and a Government-approved OCI mitigation plan, the Contractor shall make a prompt and full disclosure in writing to the Contracting Officer. This disclosure shall include a description of the action the Contractor has taken or proposes to take, or actions recommended to be taken by the Government, in order to avoid, neutralize, or mitigate the conflict.

SFA-1, Government Clauses Incorporated by Reference

(i) The Contractor shall report any violation or suspected violation of this clause or a Government-approved OCI mitigation plan, whether by its own personnel or those of its subcontractors, to the Contracting Officer. This report shall include a description of the violation and the actions the Contractor has taken or proposes to take to mitigate

and avoid repetition of the violation. The Contractor shall routinely monitor its proposed business development

and shall discuss any real or perceived OCI issues with the Contracting Officer and affected AEDC customers to proactively resolve and/or mitigate potential OCI issues. After conducting any necessary discussions, the Contracting Officer and the Contractor shall agree on appropriate corrective action, if any, or the Contracting Officer shall direct such action, subject to the terms of this contract.

(j) OCI violations are a significant contract performance issue. Violations of an OCI mitigation plan or this clause may have consequences such as Award Fee decrements, contract termination, suspension or debarment, or other appropriate remedies or administrative actions.

(k) The Contractor may propose changes to an approved OCI mitigation plan. Such changes are subject to the mutual agreement of the parties and will become effective only upon incorporation by contract modification or written approval of the revised plan by the Contracting Officer.

(l) The Government shall require proper checks and balances in contract performance and minimization of the potential conflicts of interest between this TOS II and Test Services advisory and assistance services (A&AS) efforts. Therefore, a company cannot be a Contractor on the TOS II contract and perform work at AEDC under the Technical and Management Advisory Services (TMAS) or other Test Services A&AS contract(s).

(m) For all other AEDC support contracts, the Government realizes the potential exists for actual or perceived OCIs to develop if the same Contractor performs work on both this TOS II contract and another AEDC contract. To alleviate these concerns, a Contractor performing work in any role on this TOS II contract must have a government approved OCI mitigation plan prior to performing any work on any other AEDC contract.

(n) The Contractor shall include paragraphs (a) through (m) of this clause in every subcontract, purchase order, or other agreement. Exceptions must be approved in writing by the Contracting Officer.

(H050) RIGHTS IN INFORMATION AND DATA (APR 2023)

(a) The Contractor shall transfer ownership to the Government of all technical data, computer software, and computer software documentation as defined in DFARS 252.227-7013 and 252.227-7014, and any and all other data of any description or form, including, but not limited to, all sketches, notebooks, designs, drawings, specifications, blueprints, models, negatives, photographs, findings, memoranda, reports, recommendations, automated data processing equipment (ADPE) programs, as well as all copies of the foregoing, created, produced, developed, delivered, furnished, or provided under this contract, unless otherwise identified by the Contractor pursuant to DF ARS 252.227-7017 as being furnished with Government purpose rights, limited rights, or restricted rights under either DF ARS 252.227-7013 or DF ARS 252.227-7014. Except for technical data, computer software, or computer software documentation identified by the Contractor under DF ARS 252.227-7017 as being furnished with Government purpose rights, limited rights, or restricted rights, the Contractor retains no right, title, or interest in and to such data, computer software, and computer software documentation which becomes the property of the Government. This property shall be delivered by the contractor to the Government in accordance with the terms of this contract, upon completion or termination of this contract or when otherwise directed by the Contracting Officer. The Government reserves its right to take delivery of this data, computer software, and computer software documentation via Contract Data Requirements Lists (CDRLs) or as deferred delivery at the point the Government chooses. As owner, the Government may use, reproduce, or disclose the data, computer software, and computer software documentation covered by this clause in any way and for any purpose it may desire with the exception that competition sensitive data, marked as such by the Contractor and so agreed to by the Government, such as personal data on individuals, payroll records, management methodology, and organizational approaches, or data, computer software or computer software documentation identified pursuant to DFARS 252.227-7017, shall not be disclosed outside the Government unless disclosed consistent with the rights identified by the Contractor. The Contractor shall mark the number of this contract on all

SFA-1, Government Clauses Incorporated by Reference data, computer software, and computer software documentation delivered hereunder. Any data, computer software, or computer software documentation belonging to third parties and furnished to the Contractor by the Government in performance of this contract shall be treated as property of the Government.

(b) Except as specifically authorized by this contract, or as otherwise approved in writing by the Contracting Officer, all information and data furnished by the Government to the Contractor in the performance of this contract

shall be used only in connection with the work under this contract and shall be protected by the Contractor from unauthorized use, release, duplication, or disclosure.

(c) The Contractor shall take appropriate measures to ensure that its personnel, who have or might reasonably have access to such information and data referred to in paragraph (b) above, agree to honor the Contractor's commitment and safeguard such information and data (See Section H Clause HI 15, Organizational Conflict of Interest. (APR 2023)).

(d) If the Contractor acquires technical or other data, computer software, or computer software documentation necessary for the performance of this contract from any third party through license or otherwise, in addition to providing the Government with rights or title to such data, computer software, or computer software documentation in accordance with subparagraph (a) of this clause, the Contractor shall also obtain all rights necessary to allow such rights in data, computer software, and computer software documentation to be assigned to any successor contractor to this contract.